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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/707,435 | 11/06/2000 | Debra D. Wawro | UTSL:058US |

CONFIRMATION NO. 9722

FORMALITIES LETTER



OC00000005701286

Fulbright & Jaworski LLP
A Registered Limited Liability Partnership
600 Congress Avenue
Suite 2400
Austin, TX 78701

Date Mailed: 01/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$880.
 - \$720 for 40 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

07/31/2001 SSALEEKU 00000005 09707435

- The balance due by applicant is \$ 1720.

01 FEB 03

30.00 07

Adjustment Date: 07/31/2001 SSALEEKU
07/28/2001 BLANKEN 00000155 09707435
01 FEB 03 90.00 0P

A copy of this notice **MUST** be returned with the reply.

01/06/2001 HDERNE 00000002 09707435

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FEB 03 33.00 00
02 FEB 03 35.00 00
03 FEB 03 37.00 00
04 FEB 03 39.00 00



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Debra D. Wawro et al.

Serial No.: 09/707,435


Filed: November 6, 2000

For: RESONANT WAVEGUIDE-GRATING
FILTERS AND SENSORS AND METHODS
FOR MAKING AND USING SAME

Group Art Unit: 2817

Examiner: Unknown

Atty. Dkt. No.: UTSL:058US/MTG

| CERTIFICATE OF MAILING 37 C.F.R. 1.8 | |
|--|--|
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below: | |
| May 30, 2001 |  |
| Date | Mark T. Garrett |

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated January 24, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Debra D. Wawro, Sorin Tibuleac and Robert Magnusson;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;

- (c) A Declaration Claiming Small Entity Status executed on behalf of Board of Regents, The University of Texas System;
- (d) Our check in the amount of \$1345.00 to cover the basic filing fee (\$355 - utility small entity); surcharge for late filing (\$65.00); additional claims fee (\$480.00); three month extension of time fee (\$445.00);
- (e) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted; and
- (f) A Request for Extension of Time of three months to and including June 24, 2001.

Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10024878/MTG.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Mark T. Garrett
Reg. No. 44,699
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: May 30, 2001



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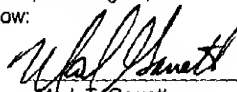
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May 30, 2001

FILE: UTSL:058US

| CERTIFICATE OF MAILING 37 C.F.R. 1.8 | |
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| May 30, 2001 |  |
| Date | Mark T. Garrett |

BOX MISSING PARTS

Commissioner for Patents
Washington, DC 20231

RE: SN 09/707,435 ["*RESONANT WAVEGUIDE-GRATING FILTERS AND SENSORS AND METHODS FOR MAKING AND USING SAME*" - Debra D. Wawro et al. (Client Reference: UT/ARL:058US)]

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed January 24, 2001;
- (2) Declaration of Debra D. Wawro, Sorin Tibuleac and Robert Magnusson;
- (3) Power of Attorney;
- (4) Small Entity Declaration;
- (5) Request for Extension of Time;
- (6) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (7) Check in the amount of \$1345.00; and
- (8) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

Commissioner for Patents

May 30, 2001

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If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10024878/MTG.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Mark T. Garrett", written in a cursive style.

Mark T. Garrett

Reg. No. 44,699

MTG/mar

Encl: as noted